

North Carolina Instructions for National UCC Financing AMENDMENT (Form UCC3)

Please type or laser-print this form. Be sure it is completely legible. Read all instructions, especially instruction 1 a; correct file number of initial financing statement is crucial. Follow instructions completely. Fill in form very carefully; mistakes may have important legal consequences. If you have questions, consult your attorney. Filing office cannot give legal advice. Do not insert anything in the open space in the upper portion of this form; it is reserved for filing office use. When properly completed, send Filing Office copy, with required fee, to filing office. If you want an acknowledgement complete item B and, if filing in a filing office that returns an acknowledgement copy furnished by filer, you may also send Acknowledgement Copy: otherwise detach. **The filing office requests that you also include a self-addressed stamped envelope if you are requesting an acknowledgement and you request your acknowledgement to be sent by mail. When filing in the central filing office, detach the Debtor and Secured Party Copies.** If you need to use attachments, use 8 1/2 x 11 inch sheets and put at the top of each sheet: "Amendment", and the file number of the initial financing statement to which this Amendment relates. You are encouraged to use Amendment Addendum (Form UCC3Ad). **Do not use a highlighter on any part of this form.**

- A. To assist filing office that might wish to communicate with the filer, filer may provide information in item A. This item is optional.
B. Complete item B if you want an acknowledgement sent to you. If filing in a filing office that returns an acknowledgement copy furnished by filer, present simultaneously with this form a carbon or other copy of this form for use as an acknowledgement copy. **Include your e-mail address in Block B.**

1a. File Number: Enter file number of initial financing statement to which this Amendment relates. Enter only one file number. In some states, the file number is not unique. **For "in lieu of" filings, use the special form available at www.sosnc.com. Click on the UCC Section page and then click on UCC FORMS.**

1 b. Only if this Amendment is to be filed or recorded in the real estate records, check box 1 b. and also, in item 13 of Amendment Addendum, enter Debtor's name, in proper format exactly identical to the format of Item 1 of financing statement, and name of record owner if Debtor does not have a record interest. Note: Show purpose of this Amendment by checking box 2,3,4,5 (in item 5 you must check two boxes) or 8; also complete items 6,7, and/or 8 as appropriate. Filer may use this Amendment form to simultaneously accomplish both data changes (items 4,5,and/or 8) and a Continuation (item 3). **However, it is highly recommended to use the UCC-3 Amendment form for only one purpose at a time. The central filing office does not charge a separate processing fee for each purpose on this form. (See fee list at the bottom of this form.)**

2. To terminate the effectiveness of the identified financing statement with respect to security interest(s) of authorizing Secured Party, check box 2.

3. To continue the effectiveness of the identified financing statement with respect to security interest(s) of authorizing Secured Party, check box 3. See Instruction 9 below.

4. To assign (a) all of assignor's interest under the identified financing statement, or (b) a partial interest in the security interest covered by the identified financing statement, or (c) assignors full interest in some (but not all) of the collateral covered by the identified financing statement: Check box in item 4 and enter name of assignee in item 7a if assignee is an organization, or in item 7b, formatted as indicated, if assignee is an individual. Complete 7a or 7b, but not both. Also enter assignee's address in item 7c. Also enter name of assignor in item 9. If partial Assignment affects only some (but not all) of the collateral covered by the identified financing statement, filer may check appropriate box in item 8 and indicate affected collateral in item 8.

5,6,7. To change the name and/or address of a party: Check box in item 5 to indicate whether this Amendment amends information relating to a Debtor or a Secured Party; also check box in item 5 to indicate that this is a name and/or address change; also enter name of affected party (current record name in case of name **change or address change**) in items 6a or 6b as appropriate; and also give new name (7a or 7b) and/or new address (7c) in item 7.

5,6. To delete a party: Check box in item 5 to indicate whether deleting a Debtor or a Secured Party; also check box in item 5 to indicate that this is a deletion of a party; and also enter name (6a or 6b) of deleted party in item 6.

5,7 To add a party: Check box in item 5 to indicate whether adding a Debtor or Secured Party; also check box in item 5 to indicate that this is an addition of a party; also enter all

Required information in item 7- name (7a) or (7b) and address (7c); **DO NOT INCLUDE social security number In this block. This item is not required in North Carolina**; also, additional organization Debtor information (7e-g) if Debtor is an organization. NOTE: The preferred method for filing against a new Debtor (an individual or organization not previously of record as a Debtor under this file number is to file a new Financing Statement (UCC-1) and not an Amendment (UCC-3).

8. Collateral change: To change the collateral covered by the identified financing statement, describe the change in Rem 8. This may be accomplished either by describing the collateral to be added or deleted, or by setting forth in full the collateral description as it is to be effective after the filing of the Amendment, indicating clearly the method chosen (check the appropriate box). If the space in item 8 is insufficient, use item 13 of Amendment Addendum (Form UCC3Ad). A partial release of collateral is a deletion. If, due to a full release of all collateral, filer no longer claims a security interest under the identified financing statement, check box 2 (Termination) and not box 8 (Collateral Change). If a partial assignment consists of the assignment of some (but not all) of the collateral covered by the identified financing statement, filer may indicate the assigned collateral in item 8, check the appropriate box in item 8, and also comply with instruction 4 above. **NOTE. For amendments filed in local filing offices, use item 8 on this form (Amendment or Item 13 on the Amendment Addendum to enter the first Debtor name (labeled clearly) that is shown on the Initial financing statement**

9. Always enter name of party of record authorizing this Amendment; in most cases, this will be a Secured Party of record. If more than one authorizing Secured Party, give additional name(s), properly formatted, in item 13 of Amendment Addendum (Form UCC3Ad). If the indicated financing statement refers to the parties as lessee and lessor, or consignee and consignor, seller and buyer, instead of Debtor and Secured Party, references in this Amendment shall be deemed likewise so to refer to the parties. If this is an assignment, enter assignor's name. If this is an Amendment authorized by a Debtor that adds collateral or adds a Debtor, or if this is a Termination authorized by a Debtor, check the box in item 9 and enter the name, properly formatted, of the Debtor authorizing this Amendment, and, if this Amendment or Termination is to be filed or recorded in the real estate records, also enter, in item 13 of Amendment Addendum, name of Secured party of record.

10. This item is optional and is for filers use only. For filer's convenience of reference, filer may enter in Rem 10 any identifying information (e.g., Secured party's loan number, law firm number, Debtor's name or other identification, state in which form is being filed, etc.) that filer may find useful.

North Carolina UCC Record Processing Fees :

**\$30.00 per 2 page UCC record; \$45.00 per 3 - 10 page UCC record;
\$45.00 per 10 page UCC record plus \$2.00 per additional page over 10 pages.**